



# Cooperative audit on the Enforcement of EU Waste Shipment Regulation

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# Content



1. EU Waste Shipment Regulation and problems addressed
2. Participating SAIs, audit objective and audit approach
3. Progress

# European Waste Shipment Regulation (EWSR)



- Implements Basel Convention & OECD decision
- Objective: prevention of illegal shipment and dumping of hazardous waste
- Large differences in enforcement
- Compliance is a problem
- Waste paper, used plastic, scrap metal and electronics are exported in large amounts

# Participating SAIs



- Bulgaria
- Greece
- Hungary
- Ireland
- Norway
- Poland
- Slovenia
- The Netherlands




# Audit objective

- Improving the enforcement of EWSR by providing insight into differences in:
  - Enforcement strategies
  - Performance among countries



### 3. Main audit questions

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- How is the EWSR incorporated into legislation?
  - How are violations punished?
  - Do the organisations charged with enforcement have enough resources?
  - What is the enforcement strategy and how is it put into practice?



# Audit approach I

- National reports and a joint report
- Common audit approach (design matrix)
- Common standards: good governance, cooperation, enforcement, quality of information and EWSR



# Audit approach II

- Desk research:
  - Collection of statistical information
  - Analysis of legislation
  - Analysis of enforcement strategy
- Data collection: inspections and penalties
- Interviews with staff of relevant authorities







# Progress

Bulgaria	Data collection and analysis
Greece	No information available
Hungary	Data collection and analysis
Ireland	Audit work begins autumn 2011
Netherlands	Data collection and analysis
Norway	Draft report ready
Poland	Audit work begins January 2012
Slovenia	Data collection and analysis

## Progress II

- Preliminary findings will be discussed in The Hague on November 7-8
- The outline for the joint report will also be discussed in The Hague
- Not appropriate to present preliminary finding at this stage



**Thank you for your attention**

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