Coordinated audit
Enforcement of EU Waste Shipment Regulation
Content

1. EU Waste Shipment Regulation and problems addressed
2. Participating SAIs, audit objective and audit approach
3. Progress
European Waste Shipment Regulation (EWSR)

- Implements Basel Convention & OECD decision
- Objective: prevention of illegal shipment and dumping of hazardous waste
- Large differences in enforcement
- Compliance is a problem
- Waste paper, used plastic, scrap metal and electronics are exported in large amounts
Participating SAIs

- Bulgaria
- Greece
- Hungary
- Ireland
- Norway
- Poland
- Slovenia
- The Netherlands
Audit objective

Improving the enforcement of EWSR by providing insight into differences in:

- Enforcement strategies
- Performance among countries
Main audit questions

• How is the EWSR incorporated into legislation?
• How are violations punished?
• Do the organisations charged with enforcement have enough resources?
• What is the enforcement strategy and how is it put into practice?
Audit approach I

- National reports and a joint report
- Common audit approach (design matrix)
- Common standards: good governance, cooperation, enforcement, quality of information and EWSR
Audit approach II

• Desk research:
  – Collection of statistical information
  – Analysis of legislation
  – Analysis of enforcement strategy

• Data collection: inspections and penalties

• Interviews with staff of relevant authorities
## Progress (early October 2012)

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Progress II

- (Preliminary) findings were discussed in June 2012 during a drafting session
- Second draft of the joint report will be discussed in November 2012
- Main subjects to be discussed in Joint report (expected publishing in January 2013).
First observations

- To early for joint conclusions, but the major findings are:
  - The enforcement (both organization and practice) seems to differ widely between countries
  - Large differences in penalties applied in the case of infringements
  - Data reliability is an important problem (no reliable and comparable data on waste streams within countries and internationally; sometimes data about notifications are missing or are not complete; no complete data and information about illegal shipments)
Thank you for your attention